



ROSANNE GIAMBALVO

Senior Director

Compliance Investigations; Program Implementation;
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EDUCATION

JD, New York Law School

BA, Boston College

CERTIFICATIONS

SCCE Certified Compliance and
Ethics Professional (CCEP)

AFFILIATIONS

American Bar Association

Society for International Affairs

New York State Bar Association

Rosanne Giambalvo is a Senior Director at Ankura based in New York. She has over 20 years of professional experience, including five years in corporate compliance and risk management, and over 15 years in financial services. Rosanne works with her clients to operationalize compliance risk management by designing and implementing policies and procedures, system and process enhancements, training, and risk mitigation solutions. Rosanne has particular expertise assisting organizations in implementing risk controls in connection with national security concerns (including the Committee on Foreign Investments in the US (CFIUS) and US trade controls), and government and regulatory investigations and audits.

Rosanne previously worked with aerospace and defense companies under a Consent Agreement with the US Department of State where she led numerous trade compliance investigations and developed export compliance enhancements and training designed to reduce compliance risk.

Rosanne was an integral part of the trade compliance team at a leading aerospace and defense company that implemented an internal compliance program which integrated investigations, data analytics, functional root cause analysis, and accountable execution of corrective actions. The program enabled the company to successfully fulfill its compliance requirements to the satisfaction of the Consent Agreement's monitor.

Most recently, Rosanne assisted with the implementation of a disaster recovery procurement compliance program at a large public utility seeking to restore fiscal credibility with federal regulators following a catastrophic hurricane. Rosanne led the operationalization of a public procurement compliance program office to ensure accountable, efficient procurement in support of disaster recovery.

Prior to joining Ankura, Rosanne was an executive director at Oppenheimer and Co., Inc. As an equity sales trader, Rosanne represented institutional clients in the equity marketplace by serving as a conduit between clients and equity traders. Rosanne routinely advised her mutual fund and hedge fund clients on trading strategy, technical analysis, and market fluctuations to obtain the best and most effective execution for their orders.