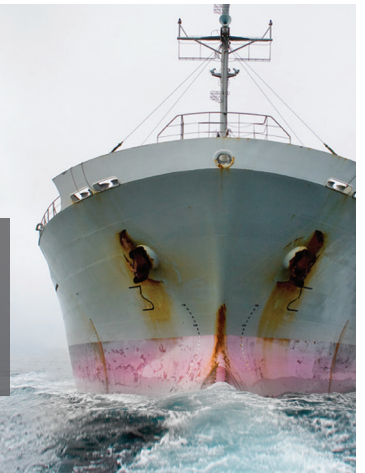


INTERNATIONAL TRADE CONTROLS

Exporting Global Insight on Compliance



International trade controls present tough issues for organizations operating in the global environment. Expertise with technology, security policy, and multiple contrasting regulatory and legal regimes is a baseline requirement. But technical knowledge is not enough. Success takes experience in putting together all the pieces in ways that an organization can understand and execute. Ankura brings to bear years of legal and operational experience working both inside and alongside global organizations to create efficient, practical solutions for hard trade control problems. We help our clients succeed in increasingly complex regulatory and risk environments involving the US International Traffic in Arms Regulations, the US Export Administration Regulations, US sanctions and embargoes, and non-US trade controls.

SOLUTIONS

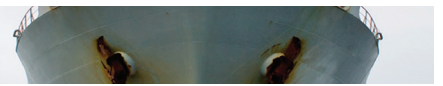
Ankura's international trade controls team combines the perspectives of former government regulators, prosecutors, in-house counsel, business executives, compliance professionals, law firm attorneys, engineers, and program managers. We understand both what regulators care about and how complex organizations operate. Our team leverages these diverse perspectives to assess, build, and enhance compliance programs; embed regulatory requirements in business systems and processes; facilitate data-driven program analytics and risk identification; provide jurisdiction and classification analysis and licensing support; and execute regulatory investigations, compliance reviews, and audits.

Ankura's approach is to partner with our clients to understand and leverage their culture, objectives, and systems. We use practical, process-based methods to identify our clients' compliance risks and integrate measurable export compliance solutions into their operations, to enable them to achieve compliance at the speed of business.

We integrate our expertise with industry-leading data analysis, risk management, information technology, auditing, business operations, and continuous improvement capabilities. This interdisciplinary competence enables Ankura to design and assist in implementing international trade control solutions that leverage governance, risk, and compliance principles to provide our clients with solutions that are appropriately tailored to their objectives and situation.

HOW WE HELP

- ✓ Compliance Assessment, Counseling, Program Design, and Implementation
- 🔍 Investigations, Disclosures, and Remediation
- 💻 Technology Services
- ✦ Transactional and Supply Chain Diligence
- 📄 Audit and Reviews
- 📄 Licensing and Policy
- 🔍 Monitoring and Independent Oversight



INTERNATIONAL TRADE CONTROLS

REPRESENTATIVE ENGAGEMENTS

US Export Control Consent Agreement and Monitorship Response

A team of Ankura professionals assisted a Fortune 500 defense company in its export control compliance activities relating to the company's consent agreement with the US Department of State for export control violations. The Ankura team helped business units design and implement various program elements, including processes for investigations and disclosures, and export program risk analysis and mitigation.

Risk Assessment and Prioritized Program Design

Ankura helped an international manufacturer design and prioritize implementation of tailored, risk-appropriate solutions to mitigate compliance risks identified during a compliance audit. Based on the firm's assessment of compliance risk, we developed a compliance program matrix that enabled the client to understand and systematically map compliance system requirements, and prioritize enhancements to its compliance program.

Jurisdiction and Classification Analysis

Ankura assisted a US telecommunications company in reviewing and analyzing various products to determine the appropriate export jurisdiction and classification applicable to these products. Ankura also helped the client prepare and submit regulatory applications to confirm these determinations.

US Export Control Consent Agreement and Monitorship Response

Our team of professionals, prior to joining Ankura, were key members of a company's internal trade compliance team that designed and implemented an export compliance program (ITAR, EAR, and US economic sanctions) in response to a US Department of State consent agreement and a US Department of Justice deferred prosecution agreement. The Ankura team worked on all facets of the compliance program — which was recognized by regulators as “best in class” — including self-assessment and gap analysis, design and rollout, procedure and system implementation, training, investigations and disclosures, and audits and reviews.

THE ANKURA DIFFERENCE

Ankura understands the breadth and complexity of the regulations governing export controls and trade sanctions, as well as the practical realities of compliance in the real world. We integrate this deep expertise with industry-leading tools and methods to provide practical answers for our clients. Our primary goal is helping our clients understand and efficiently and credibly mitigate the compliance risks associated with exporting goods, technology, and services to customers and partners. We do not advise from afar. We are organized and committed to solving the kind of complex trade control problems that we encountered when we were in our clients' shoes.

GET IN TOUCH

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ABOUT US

Ankura is an expert services firm defined by *HOW* we solve challenges. Whether a client is facing an immediate business challenge, trying to increase the value of their company or protect against future risks, Ankura designs, develops, and executes tailored solutions by assembling the right combination of expertise. We build on this experience with every case, client, and situation, collaborating to create innovative, customized solutions, and strategies designed for today's ever-changing business environment. This gives our clients unparalleled insight and experience across a wide range of economic, governance, and regulatory challenges. At Ankura, we know that **collaboration drives results.**